

Audit & Governance Committee



Date & time
Thursday, 26 July
2018
at 10.30 am

Place
Members Conference
Room, County Hall,
Kingston upon
Thames, Surrey KT1
2DN

Contact
Joss Butler
Room 122, County Hall
Tel 020 8541 9702
joss.butler@surreycc.gov.uk

Chief Executive
Joanna Killian



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[@SCCdemocracy](https://twitter.com/SCCdemocracy)

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This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Joss Butler on 020 8541 9702.

Members

Mr David Harmer (Chairman), Mr Keith Witham (Vice-Chairman), Mr Edward Hawkins, Dr Peter Szanto, Mr Will Forster and Mr Stephen Spence

Ex Officio:

Mr David Hodge CBE (Leader of the Council), Mr John Furey (Deputy Leader), Mr Peter Martin (Chairman of the Council) and Mr Tony Samuels (Vice-Chairman of the Council)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING - 24 MAY 2018

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (20/07/2018).
2. The deadline for public questions is seven days before the meeting (19/07/2018).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER & BULLETIN

(Pages 7
- 16)

To review the Committee's recommendations tracker and bulletin.

6 STATEMENT OF ACCOUNTS 2017/18

(Pages
17 - 200)

To inform the Committee of the result of the external audit of the Council's 2017/18 Statement of Accounts, to receive the external auditor's Audit Findings Report and to approve the Council's letter of representation from the Director of Finance.

Annex B to follow.

- 7 PSIAS EXTERNAL ASSESSMENT OF INTERNAL AUDIT** (Pages 201 - 220)
- The purpose of this report is to present the independent external assessment of Internal Audit by South West Audit Partnership to the Committee.
- As part of its responsibility to oversee the work of Internal Audit and satisfy itself as to the effectiveness of the service, the Audit and Governance Committee is recommended to note the independent external assessor's review of Orbis Internal Audit against professional standards.
- 8 INTERNAL AUDIT & COUNTER FRAUD ANNUAL REPORT AND OPINION 2017-18** (Pages 221 - 244)
- This report summarises the work of Orbis Internal Audit and Counter Fraud ('Internal Audit') for the period 1 April 2017 to 31 March 2018, identifying the main themes arising from the audit reviews and the implications for the County Council. The Audit Manager reports key findings and agreed actions arising from audits undertaken as part of quarterly reports to this Committee.
- A list of all audit reports issued in the period is attached at Appendix B for information. In response to member interest in the performance of Internal Audit, a summary of progress against Key Performance Indicators for the year is shown at Appendix A. The report also provides, at Appendix C, the summary of audit reports issued in the last quarter of 2017-18.
- 9 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 27 September 2018.

Joanna Killian
Chief Executive
Published: 18 July 2018

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